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(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages 100

October 25, 2000

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Enclosed herewith for filing is a patent application of Rothman et al. entitled KDEL RECEPTOR INHIBITORS

cludes:

| | |
|---|--------------------------|
| <input checked="" type="checkbox"/> Specification | <u>27</u> Total Pages |
| <input checked="" type="checkbox"/> Claims | <u>4</u> Total Pages |
| <input checked="" type="checkbox"/> Abstract | <u>1</u> Total Pages |
| <input checked="" type="checkbox"/> Drawing(s) | <u> </u> Total Sheets |
| <u>X</u> formal | <u>30</u> Total Sheets |
| <u>X</u> informal | <u>30</u> Total Sheets |

8 Total Pages

☒ Copies from a prior application

**Copies from a prior application
(for continuation/divisional only - must be filed to avoid surcharge for late filing)**

☐ Continuation ☒ Divisional
of prior application No. 09/124,671

☐ Continuation-In-Part (CIP)

"This is a ☐ continuation ☒ divisional ☐ continuation-in-part
of copending application Serial No. 09/124,671 filed July 29, 1998."

Attorney Docket No. A31488-I 065360.0141

- [X] An Assignment of the invention to SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH.
☐ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
☐ will follow.
☒ has been filed in the prior application

- ☐ Small Entity Statement(s) **ENCLOSED**.
☒ Small Entity Statement filed in prior application. Status still proper and desired.
☐ Information Disclosure Statement (IDS) PTO-1449
☐ Copies of IDS Citations.

[X] Preliminary Amendment (with hard copy of Sequence Listing and a soft copy in a diskette)

[X] Return Receipt Postcard

[X] Other : Check for \$355.00

- ☐ Cancel in this application original claims 1-13 and 20-43 of the prior application before calculating the filing fee.

The filing fee has been calculated as shown below:

| FOR | (Col. 1) No. Filed | (Col. 2) No. Extra | Small Entity Rate | Fee | OR | Other Than A Small Entity Rate | Fee |
|--------------------------|-----------------------|-----------------------|----------------------|---------------|---------|--------------------------------------|---------------|
| Basic Fee | | | | | | | |
| Total Claims | 6 | -20 = 0 | x 9 = | \$0.00 | x 18 = | \$0.00 | |
| Ind. Claims | 1 | -3 = 0 | x 40 = | \$0.00 | x 80 = | \$0.00 | |
| Multiple Dependent Claim | | | + 135 = | | + 270 = | | |
| | | | Total | <u>\$0.00</u> | | | <u>\$0.00</u> |

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee Payment Being Made:

[X] Enclosed

[X] Basic filing fee \$355.00

☐ Recording Assignment \$0.00
 [\$40.00; 37 CFR 1.21(h)]

Total Fees Enclosed \$355.00

Appln. Trans.
PATENT

Attorney Docket No. A31488-I 065360.0141

☒ A check in the amount of \$355.00 to cover filing fee is enclosed.

Priority of application Country __, Appln. No. __ filed __ is claimed under 35 U.S.C. 119.

Certified Copy of Priority Document(s) Country __, Appln No. __, filed __.

☐ is/are attached ☐ will follow ☐ has been filed in the parent application S/N _____.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

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Enclosures